

THE SMITH-MAGENIS SYNDROME (SMS) FOUNDATION UK

Registered UK Charity No: 1072573
Registered Scottish Charity No: SC044841

ACCOUNTS

30TH JUNE 2021

Registered Address:

Smith-Magenis Syndrome (SMS) Foundation UK
18 Suthmere Drive
Burbage
Marlborough
SN8 3TG

Operating and Registered Address in Scotland:

Smith-Magenis Syndrome (SMS) Foundation UK,
12 Bankton Brae
Livingston
West Lothian
EH54 9LA

THE SMITH-MAGENIS SYNDROME (SMS) FOUNDATION UK

Contents of the Financial Statements

For the year ended 30th June 2021

	Page
Annual Report of the Trustees	3
Independent Examiner's Report	6
The Smith-Magenis Syndrome (SMS) Foundation UK, 1072573 / SC044841 Receipts and Payments Account (General Purpose Fund)	8
Statement of Assets and Liabilities	9
Notes to the Accounts	10

THE SMITH-MAGENIS SYNDROME (SMS) FOUNDATION UK

Final Report of the Trustees for the year ended 30th June 2021

The Trustees have pleasure in presenting their final report together with the financial statements and the independent examiner's report for the year ended 30th June 2021.

At an Extra-ordinary General Meeting held on 16th November 2019 the membership voted in favour of transitioning the organisation from an unincorporated registered charity to a Charitable Incorporated Organisation (CIO). All existing assets of the Smith-Magenis Syndrome (SMS) Foundation UK are being transferred to the new charity, The Smith-Magenis Syndrome (SMS) Foundation UK CIO. All transitioning has been completed by 30th April 2021.

The Annual General Meeting held on 12th January 2021 passed a resolution to dissolve the charity upon completion of the delivery of outstanding activities and the transfer of residual assets and liabilities to The Smith-Magenis Syndrome (SMS) Foundation UK CIO.

Reference & Administrative Information

Charity Name:	Charity Registration:
The Smith-Magenis Syndrome (SMS) Foundation UK	UK Registered Charity No 1072573 Registered Scottish Charity SC044841
The Smith-Magenis Syndrome (SMS) Foundation UK CIO	UK Registered Charity No 1186647 Registered Scottish Charity SC050921

Registered Address:

Smith-Magenis Syndrome (SMS) Foundation UK,
18 Suthmere Drive, Burbage, Marlborough, Wiltshire, SN8 3TG

Operating and Registered Address in Scotland:

Smith-Magenis Syndrome (SMS) Foundation UK,
12 Bankton Brae, Livingston, West Lothian, EH54 9LA

Current Trustees:

The Charity is administered by a management committee, comprising:

Name	Appointments	Resignations
Hazel Wotherspoon (Chairperson)	Re-elected 12/01/2021	
Nick Hunt	Re-elected 12/01/2021	
Emma Riddell	Re-elected 12/01/2021	
Mick Pearson	Re-elected 12/01/2021	

Structure Governance & Management

Constitution:

The Smith-Magenis Syndrome (SMS) Foundation UK, 1072573, is an unincorporated association. It is governed by its constitution which was adopted on 21st March 1998 and last amended on 2nd June 2013. The Smith-Magenis Syndrome (SMS) Foundation UK became registered with the Charities Commission on 24th November 1998 and the Office of the Scottish Charity Regulator on 1st May 2014.

Appointment of Trustees:

Trustees are elected at the Annual General Meeting to serve for terms of three years. Retiring trustees are eligible to stand for re-election.

The constitution for **The Smith-Magenis Syndrome (SMS) Foundation UK**, 1072573, states that there must be no less than three trustees and no more than nine trustees. Up to six trustees are elected from the membership of the charity with provision for the appointment of further trustees. At the EGM of 16th November 2019, the resolution was adopted for the legal membership of the charity to be reduced to the elected Trustees.

The Annual General Meeting held on 12th January 2021 voted that the current trustees remain in office as charity trustees with responsibility for winding up the affairs of the charity.

Management:

The Trustees are responsible for the strategic direction and governance of the charity, and for the delivery of charitable activities to meet the objectives.

On 1st April 2020, a Senior Management Team was appointed to run the day-to-day operations of the charity. The Management team comprises:

Chief Executive Officer:	Nigel Over
Information & Communications Manager:	Leeann Stevenson
Fundraising Manager:	Helen Hargrave

Objectives & Activities**Charitable Purposes:**

The charity's objects ("the objects") are:

1. To preserve and protect the health and promote the relief of persons affected by Smith-Magenis Syndrome and any associated condition,
2. To advance the education of the medical profession and the general public on the subject of Smith-Magenis Syndrome and its implications for the family,
3. To advance public education by promoting research into the management of Smith-Magenis Syndrome and to publish the useful results thereof and to support organisations promoting research into Smith-Magenis Syndrome.

Achievements & Performance:

During the reporting period, the charity continued to deliver activities to meet its objects, and particularly:

- provided community cohesion support for virtual sessions,
- delivered a virtual Teddy Bears' Picnic event for families in Scotland,
- transitioned to a Charitable Incorporated Organisation, transferring all residual assets to UK Charity 1186647 The Smith-Magenis Syndrome (SMS) Foundation UK CIO (as registered in Scotland as SC050921)

Financial Review

£24,107 was raised over this ten-month period, £6,807 has come in the form of grants towards community cohesion activities and events in Scotland, and £17,300 through charitable donations and general fundraising activities as a result of the tireless efforts of our supporters.

Expenditure in 2020-21 was made to support families in Scotland.

- £143 was spent on administration relating to software licences.
- Salary costs of £5,307 were incurred relating to community cohesion projects in Scotland.
- £1,619 was spent on delivering the 2021 Teddy Bears' Picnic virtual event for Scotland.

Money assets at the previous financial year end of 30th June 2020 of £70,135.01 were transferred to UK Charity 1186647 The Smith-Magenis Syndrome (SMS) Foundation UK CIO following the AGM on 12th January 2021.

Money assets of £17,038.10 representing the surplus for the reporting period were transferred by 30th April 2021 to UK Charity 1186647 The Smith-Magenis Syndrome (SMS) Foundation UK CIO.

Reserves Policy

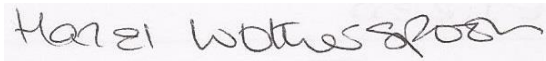
With the formation and registration of the Smith-Magenis Syndrome (SMS) Foundation UK CIO, all assets of the existing charity have been transferred to the new charity which will assume full financial responsibilities for any residual incomes and existing commitments.

Plans for Future Periods

All activities, liabilities and residual assets have been transferred to the Smith-Magenis Syndrome (SMS) Foundation UK CIO (Charity Number 1186647 / SC050921).

The Smith-Magenis Syndrome (SMS) Foundation UK (Charity Number 1072573 / SC044841) will be dissolved and deregistered.

Approved by the trustees and signed on their behalf by:



Hazel Wotherspoon – Chairperson

Date: 14th December 2021

THE SMITH-MAGENIS SYNDROME (SMS) FOUNDATION UK

Independent Examiner's Report to the Trustees of
THE SMITH-MAGENIS SYNDROME (SMS) FOUNDATION UK
For the year ended 30th June 2021

Independent Examiner's Report on the Accounts

Report to the trustees / members of: The Smith-Magenis Syndrome (SMS) Foundation UK
On accounts of the charity for: 1st July 2020 to 30th June 2021
Charity Registration: Registered UK Charity 1072573
Registered Scottish Charity SC044841
Set out on pages: Pages 8 and 9

Respective responsibilities of trustees and examiner

The charity's trustees are responsible for the preparation of the accounts in accordance with the terms of the Charities Act 2011, the Charities and Trustee Investment (Scotland) Act 2005 and the Charities Accounts (Scotland) Regulations 2006.

The charity's trustees consider that an audit is not required for this period under section 144 of the Charities Act 2011 and that the audit requirement of Regulation 10(1) (d) of the Charities Accounts (Scotland) Regulations 2006 does not apply.

It is my responsibility:

- to examine the accounts under section 145 of the Charities Act,
- to follow the procedures laid down in the general Directions given by the Charity Commission (under section 145(5)(b) of the Charities Act),
- to examine the accounts as required under section (44)(1) (c) of the Charities and Trustee Investment (Scotland) Act 2005, and
- to state whether particular matters have come to my attention.

Basis of independent examiner's statement

My examination was carried out in accordance with general Directions given by the Charity Commission and with Regulation 11 of the Charities Accounts (Scotland) Regulations 2006.

An examination includes a review of the accounting records kept by the charity and a comparison of the accounts presented with those records. It also includes consideration of any unusual items or disclosures in the accounts and seeking explanations from the trustees concerning any such matters. The procedures undertaken do not provide all the evidence that would be required in an audit, and consequently no opinion is given as to whether the accounts present a 'true and fair' view and the report is limited to those matters set out in the statement below.

Independent examiner's statement

In connection with my examination, no matter has come to my attention:

1. which gives me reasonable cause to believe that in, any material respect, the requirements:

- to keep accounting records in accordance with section 130 of the Charities Act 2011 and with section 44(1)(a) of the Charities and Trustee Investment (Scotland) Act 2005 and Regulation 4 of the Charities Accounts (Scotland) Regulations 2006; and
- to prepare accounts which accord with the accounting records and comply with the accounting requirements of the Charities Act 2011 and Regulation 9 of the Charities Accounts (Scotland) Regulations 2006

have not been met; or

2. to which, in my opinion, attention should be drawn in order to enable a proper understanding of the accounts to be reached.

Signed:  Date: 14 / 12 / 2021

Name: Mark Middleton

Relevant professional
qualification(s) or body (if any): FCA

Address: 1 Vicarage Lane, E15 4HF

THE SMITH-MAGENIS SYNDROME (SMS) FOUNDATION UK, 1072573 / SC044841

Accounts for the period 1st July 2020 to 30th June 2021

Statement of Receipts and Payments Account (General Purpose Fund)

Receipts and Payments Account: General Fund

	Note	£ Unrestricted Funds	£ Restricted Funds	£ Total 2021	£ Total 2020
<u>Receipts</u>					
<u>Voluntary Sources</u>					
Donations and membership fees	8	500.00	-	500.00	3,093.59
Grants	4	-	6,807.00	6,807.00	23,778.00
Receipts from fundraising activities	8	16,255.84	-	16,255.84	26,162.25
Gross Trading Receipts	8	542.53	-	542.53	-
Income from Investments	8	1.47	-	1.47	-
Organised Event Income	9	-	-	-	-
Total Receipts / Income		17,299.84	6,807.00	24,106.84	53,033.84
<u>Payments</u>					
Expenses for fundraising activities	8	-	-	-	12,251.04
Payments relating directly to charitable activities	4,5,7,11	142.76	5,307.00	5,449.76	20,720.29
Events	9	118.98	1,500.00	1,618.98	3,153.62
Grants	10	-	-	-	1,978.94
Governance Costs	13	-	-	-	14,075.76
Trustee Expenses	6	-	-	-	2,030.48
Total Expenditure / Payments		261.74	6,807.00	7,068.74	54,210.13
Total Receipts		17,299.84	6,807.00	24,106.84	53,033.84
Total Payments		261.74	6,807.00	7,068.74	54,210.13
Surplus / (Deficit) for the period		17,038.10	0	17,038.10	(1,176.29)
Transfers between funds	12	-	-	-	-
Surplus / (Deficit) for the period		17,038.10	0	17,038.10	(1,176.29)

The Notes on pages 10 to 11 form an integral part of these accounts.

THE SMITH-MAGENIS SYNDROME (SMS) FOUNDATION UK

Statement of Balances on Assets and Liabilities as of 30th June 2021

	£	£	£	£
	Unrestricted	Restricted	Total	Total
<u>Fund Reconciliation</u>	Funds	Funds	2021	2020
Cash at Bank & In Hand – Start of period	70,135.01	-	70,135.01	71,311.30
Surplus / (Deficit) for the period	17,038.10	-	17,038.10	(1,176.29)
Transfer of Assets	12 (87,173.11)	-	(87,173.11)	-
Cash at Bank & In Hand – end of period	0	-	0	70,135.01

Bank & Cash Balances

The Smith-Magenis Syndrome (SMS) Foundation UK (1072573 / SC044841)	<u>30/04/2021</u>	<u>30/06/2020</u>
Barclays Bank Current Account (1072573)	-	1,841.74
CAF Bank Account (1072573, part of)	-	64,275.00
PayPal Account (1072573)	-	4,018.27
Cash in Hand	-	-
	-	70,135.01

Other Assets (Unrestricted Fund)

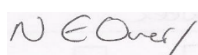
There are no other assets

Liabilities (Unrestricted Fund)

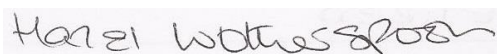
There are no liabilities outstanding

The Notes on pages 10 to 11 form an integral part of these accounts.

Approved by the Trustees and signed on their behalf by:



Nigel Over (Chief Executive Officer)



Hazel Wotherspoon (Chairperson)

Date: 14th December 2021

Notes to the Accounts – For the Year Ended 30th June 2021

1. Basis of Accounting

These accounts have been prepared on the Receipts & Payments basis in accordance with the Charities Act 2011, Charities & Trustee Investment (Scotland) Act 2005 and the Charities Accounts (Scotland) Regulations 2006 (as amended).

2. Nature and purpose of the funds

Unrestricted funds are those that may be used at the discretion of the trustees in furtherance of the objects of the charity. The trustees maintain a single unrestricted fund for the running of the charity.

Restricted funds may only be used for specific purposes. Restrictions arise when specified by the donor or when funds are raised for specific purposes. During the period, the charity received no restricted funding.

3. Related Party Transactions

The charity's insurance policy includes Trustee Indemnity for all its trustees. No other remuneration was paid to the trustees or to any connected persons during the year.

4. Grants Received

The following grants were received during the period:

Trust or Fund	Value	Purpose	Period Covered
Foundation Scotland	£3,307	Recovery Fund: Regional events and staff costs	To Mar 2021
Foundation Scotland	£3,500	Resilience Fund: Staff salary for community cohesion	To Apr 2021

5. Administration

All costs associated with consumed stationery, newsletter printing, correspondence, website hosting fees, virtual office fees, and insurance premiums have been combined under this heading.

Staff Employed

Number of staff employed: 3 (1.4 to 1.7 FTE)
Staff salaries including taxes and pensions: £5,307

No member of staff has a salary above £60,000 p.a.

For administrative purposes staff are contracted to Smith-Magenis Syndrome (SMS) Foundation UK CIO, registered charity 1186647.

6. Trustee Expenses

No expenses were claimed by Trustees.

7. Publications

No costs were incurred relating to publications.

8. Income Generation

Fundraising includes all member led activities where contributions have been acquired or requested in respect of these activities.

Donations are recorded on the basis of receiving of an altruistic gift either with a general charitable purpose or for the support of a project.

A website shop was established for the sale of existing merchandise.

A small refund was provided by Barclays Bank relating to incorrect foreign conversions fees applied to previous transactions.

9. Events

A virtual Teddy Bears' Picnic was delivered by the charity for families in Scotland with funding provided by Foundation Scotland for this specific purpose.

10. Grants

No grants were given in this reporting period.

11. Research

Responsibility for the completion of a research project on Sleep Safety in Smith-Magenis syndrome, which commenced in 2018-19 with the University of Birmingham, has been transferred to the Smith-Magenis Syndrome (SMS) Foundation CIO.

12. Transfers between funds

As part of the transition to the Charitable Incorporated Organisation, the residual assets of The Smith-Magenis Syndrome (SMS) Foundation UK (1072573 / SC044841) have been transferred to The Smith-Magenis Syndrome (SMS) Foundation UK CIO (1186647 / SC050921).

13. Governance Costs

No costs associated with the governance of the charity were incurred during this reporting period.